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¹ Using Electronic Theory To Identify Metabolites Present in ² 17α -Ethinylestradiol Biotransformation Pathways

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9 **Supporting Information**

ABSTRACT: This research used electronic theory to model 10 the biotransformation of 17α -ethinylestradiol (EE₂) under 11 aerobic conditions in mixed culture. The methodology involved 12 determining the Frontier Electron Density (FED) for EE₂ and 13 various metabolites, as well as invoking well-established degra-14 dation rules to predict transformation pathways. We show that 15 measured EE₂ metabolites are in good agreement with what 16 is expected based on FED-based modeling. Initiating reactions 17 occur at Ring A, producing metabolites that have been experi-18 mentally detected. When OH-EE2 and 6AH-EE2 are trans-19



formed, Ring A is cleaved before Ring B. The metabolites involved in these pathways have different estrogenic potentials, as

21 implied by our analysis of the log P values and the hydrogen bonding characteristics. The OH-EE₂ and 6AH-EE₂ transformation

22 pathways also show redox-induced electron rearrangement (RIER), where oxidation reactions lead to the reduction of carbon

units present along the bond axis. Sulfo-EE₂ appears to be difficult to biotransform. These findings clarify theoretical and practical

²⁴ aspects of EE₂ biotransformation.

25 INTRODUCTION

²⁶ The presence of 17*α*-ethinylestradiol (EE₂) in the aquatic ²⁷ environment continues to be a topic of considerable interest to ²⁸ the water quality community. It is an anthropogenic pollutant ²⁹ that is present in rivers, lakes, and groundwaters,¹⁻³ and it ³⁰ induces developmental anomalies in wildlife (such as feminized ³¹ male fish).^{4–7} Negative ecological impacts may occur at very low ³² concentrations (i.e., ppb or ppt). EE₂ is primarily introduced ³³ into the aquatic environment via domestic wastewater, so sew-³⁴ age treatment processes are critical for eliminating EE₂ from ³⁵ the water cycle. Learning more about the removal of EE₂ is an ³⁶ important priority; we need to discover the transformation ³⁷ pathways, and we also need to learn how to minimize or ³⁸ remove the toxicity of the resulting byproducts.

It is now clear that EE_2 can be removed during the activated 40 sludge process. Numerous studies have biologically degraded 41 EE_2 under aerobic conditions,^{8–10} and a number of studies have 42 carried out these studies in the concentration range (i.e., low 43 ug/L or ng/L) expected in real wastewater.^{11,12} Some studies 44 have detected metabolites using tools like NMR⁸ or LC/MS/ 45 MS,¹³ but most of the previous research has reported EE_2 46 removal without reconciling its ultimate fate or identifying 47 byproducts. Recent work has shown that EE_2 is partially miner-48 alized (i.e., converted to carbon dioxide) during aerobic treat-49 ment of sewage. For example Yi et al., 2011¹⁴ degraded EE_2 in fed-batch bioreactors and measured 40–60% conversion to ${}_{50}$ carbon dioxide. Khunjar et al., 2011¹³ degraded EE₂ in aerobic ${}_{51}$ chemostats, and they measured 13% and 26% EE₂ conversion ${}_{52}$ to carbon dioxide. Both of these reports show that it is possible ${}_{53}$ to mineralize EE₂ and its byproducts from water, but, in each ${}_{54}$ case, a significant fraction of ${}^{14}\text{C-EE}_2$ remained in the waste- ${}_{55}$ water either in the aqueous phase or associated with suspended ${}_{56}$ solids. EE₂ can be removed during the activated sludge process, ${}_{57}$ but there are lingering concerns related to the byproducts. This ${}_{58}$ is, therefore, an appropriate moment to discover important ${}_{59}$ components of the biotransformation pathways.

Ring cleavage is a key event in the EE_2 transformation ⁶¹ pathway because, without rings, the metabolites are easier to ⁶² assimilate¹⁵ and unlikely to bind to estrogen receptors.¹⁶ ⁶³ Understanding EE_2 ring cleavage would allow us to better ⁶⁴ understand the intermediates that may be present in wastewater ⁶⁵ effluents (including those that are difficult to detect analytically). The current metabolite data set has also lead to some ⁶⁷ apparently conflicting ideas about EE_2 ring cleavage. For ⁶⁸ example, Yi and Harper, 2007⁸ and Khunjar et al., 2011¹³ both ⁶⁹

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⁷⁰ reported metabolites that show that ring A is the first to be ⁷¹ cleaved during biotransformation. Their results are in conflict ⁷² with those of Haiyan et al., 2007, ¹⁷ who used Sphingobacte-⁷³ rium sp. JCR5 to degrade EE_2 , and, based on the daughter ⁷⁴ products they detected, they proposed that EE_2 is initially ⁷⁵ oxidized to estrone, followed by ring B (not ring A) cleavage. ⁷⁶ Collecting more metabolite data and modeling biotransfor-⁷⁷ mation reactions can address and resolve questions related to ⁷⁸ ring cleavage.

Identifying byproducts will also address concerns related 79 80 to estrogenicity, which in turn, is influenced by chemical struc-⁸¹ tures. For example, Fang et al., 2001¹⁶ analyzed 230 natural and 82 synthetic steroids (with and without phenolic rings), and they s3 found that the number of hydrogen bond donating groups (n_d) 84 correlated negatively with estrogenicity. They also found that 85 the octanol-water partitioning coefficient (log P) was posi-86 tively correlated with estrogenicity, because compounds with 87 relatively low log P values were more soluble and less likely to 88 interact with hormone receptors. Lipinski et al., 2001¹⁸found 89 similar results for their analysis of approximately 2500 organic 90 compounds. Schultz et al., 2001¹⁹ developed structure-activity 91 relationships for 120 aromatic compounds, and they found 92 that n_d correlated well with estrogenicity. They found that the 93 number of hydrogen bond accepting groups (n_a) was negatively 94 correlated with estrogenicity. They also found that the hydro-95 phobicity of rings B, C, and D (but not A) was positively 96 correlated with estrogenicity. These parameters (log P, n_d , n_a) 97 can be determined from the chemical structures of EE2 and 98 its metabolites. Therefore, it is possible to assess the estrogenic 99 potential associated with compounds involved in biotransfor-100 mation pathways.

The current work aims to apply frontier electron density 101 102 (FED) theory to explore EE₂ biotransformation. FED calcula-103 tions can elucidate the fundamental principles governing EE₂ 104 reactivity by predicting which positions on the molecule will 105 most likely undergo electrophilic attack. Of particular impor-106 tance is the localization of the highest occupied molecular orbital 107 (HOMO), as electrons occupying this frontier orbital are most 108 free to participate in the initiating reactions. The general con-109 cept is that an electron-poor molecule will readily attack a posi-110 tion of large electron density. Fukui developed the powerful 111 FED model for describing chemical reactivity via frontier mole-112 cular orbital (FMO) theory and pioneered much of the early 113 work connecting FED to chemical reactivity in aromatic hydro-114 carbons.²⁰Wheland and Pauling, 1935²¹ successfully used FED 115 to explain the reactivity of substituted aromatics. More recently, 116 Ohko et al., 2002²² using FED to explain the initiating reactions 117 associated with the photocatalysis of 17β -estradiol, and Ohura 118 et al., 2005²³ showed that air-borne polycyclic aromatic hydro-119 carbons were abiotically chlorinated in positions that corre-120 sponded to high FED. Lee et al., 2001²⁴ used Fenton oxidation 121 to remove polycyclic aromatic hydrocarbons, and they success-122 fully used FED to predict the order of daughter product pro-123 duction. Although these previous attempts focused on 124 abiotic reactions, they bolster the potential for predicting 125 biological oxidations in the same way. Prior efforts to 126 conduct a priori predictions of biodegradation have been very 127 successful when focusing on readily degradable substrates 128 (e.g., glucose) that enter well-characterized metabolic 129 pathways (e.g., glycolysis). FED-based techniques present 130 the promise of predicting biodegradation on complex 131 organics like EE₂; a contribution here can eventually make 132 a significant impact. The specific objective of this work is to

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compare measured EE_2 metabolites to those predicted by FED- 133 based theory. We intended to gain theoretical and practical 134 insights into EE_2 biotransformation steps as well as the nature 135 of the metabolites that are generated. 136

EXPERIMENTAL SECTION

Overall Approach. We simulated the transformation of 138 EE₂ using FED-based modeling, which consisted of 139 calculating the FED of all of the carbon units and then 140 simulating transformation according to degradation rules. 141 These simulations assume that a wide range of nonspecific 142 enzymes (e.g., oxygenases) are active. We then compared the 143 computational results to the identity of the measured 144 metabolites reported in literature (including those reported 145 from our lab). We also analyzed the results of ¹⁴C-EE₂ 146 experiments done in Dr. Willie Harper's lab and used these 147 chromatograms to propose additional metabolites (including 148 a few that had not been previously reported). We used the 149 chemical structures to evaluate estrogenic potential; this is 150 related to (but not the same as) estrogenicity, which also 151 depends on the regulation of complex endocrine pathways.²⁵ 152 Finally, we restricted the scope of this work to include 153 EE_{z2} transformation steps leading up to (or immediately 154 following) the first ring cleavage. This limitation confined 155 the study to the range where the majority of measured 156 metabolites are located. 157

¹⁴C-EE₂ Experiments and Metabolite Identification. 158 These studies were conducted with ¹⁴C-labeled ethinylestradiol 159 (¹⁴C-EE₂) (99% pure; American Radiolabeled Chemicals, St. 160 Louis, MO) at room temperature. Biomass was retrieved from 161 parent bioreactors and used to seed two 500 mL fed-batch bio- 162 reactors (FBBRs) (i.e., the bioreactors were fed continuously 163 with substrate, but reactor volume was discharged only during 164 sampling periods). At the beginning of the experiment, the 165 FBBRs were each spiked with ¹⁴C-EE₂ at an initial concen- 166 tration of 24.5 μ g/L. Aqueous samples were retrieved at three 167 time points (1 h, 24 h, 48 h) and then subsequently delivered 168 to Dr. Diana Aga's laboratory for metabolite identification. The 169 performance of these FBBRs has been discussed previously.¹⁴ 170 The water samples retrieved from the ¹⁴C experiments were 171 analyzed by liquid chromatography/mass spectrometry (LC/MS) 172 and LC/radiochromatographic detection as described pre- 173 viously.¹⁴ Since all samples contained ¹⁴C-labeled EE₂, the 174 analysis was performed using an Agilent 1100 HPLC equipped 175 with an online radiochromatographic detector (IN/US Systems, 176 Inc., Tampa, FL) as described previously.²⁶ After determining 177 the retention times of the radioactive peaks, we reinjected an 178 aliquot of sample into the LC column with the eluate being 179 split between the radioactive detector and a triple quadrupole 180 mass spectrometer (Agilent 6410 MSD); the splitter was put 181 in place to ensure that the LC/MS data corresponded with 182 the radioactive peaks. We used LC/MS in conjunction with a 183 radioactive detector to determine the m/z ratios, which were 184 the basis for proposed metabolite structures. 185

FED Analysis. Frontier electron density (FED) analyses 186 were performed to determine the electron density profile for 187 EE_2 and for relevant metabolites. The Unrestricted Hartree– 188 Fock (UHF) method and STO-3G basis set were employed for 189 initial structure optimizations using the program Gaussian 03.²⁷ 190 UHF/6-31G(d) calculations were used for final geometry 191 optimizations, computing vibrational frequencies, and in 192 calculating the electron density of each compound. The FED 193 for all carbon atoms were computed using the following 194

195 equation

$$fr = \left[2^* \sum_{i} (Cri HOMO)^2\right]$$
(1)

¹⁹⁶ For an electrophilic reaction, the highest occupied molecular ¹⁹⁷ orbital (HOMO) densities are normalized by the energy of ¹⁹⁸ the frontier molecular orbitals at ground state. The coefficient ¹⁹⁹ of each atomic orbital, Cri, is used to produce the frontier ²⁰⁰ movement, where r is the number of carbon atoms in i: 2s, 2px, ²⁰¹ 2py, and 2pz orbitals.²⁴ In general, the highest *f*r value indicates ²⁰² the most reactive position. We also determined geometries, ²⁰³ energies, and FEDs of carbon atoms for all compounds using ²⁰⁴ density functional theory (DFT) at the B3LYP/6-31G(d) ²⁰⁵ theory level^{28,29} for comparison. All simulations were performed ²⁰⁶ on computers located at the Pittsburgh Supercomputer Center. ²⁰⁷ FED values for EE₂-related carbons were typically between 0 ²⁰⁸ and 0.3.

Degradation Rules. FED values were used to determine the location of the most reactive part in the model compounds. To determine what happens at the reactive position, we invoke six *degradation rules* relevant for biologically mediated electrophilic reactions: $^{30-39}$

214 Rule 1 – The enzyme attacks the carbon atom at the 215 highest FED. The carbon atom being oxidized must be 216 bound to a –H, =O, or –OH group.

Rule 2 – The phenol ring is cleaved after being oxidized
to catechol. Oxygenolytic cleavage of the phenol ring
occurs via *ortho-* or *meta-*cleavage. Ring cleavage takes
place between the hydroxylated carbon with highest FED
value and carbon with higher FED out of two adjacent
carbons.

Rule 3 – The cyclohexane and cyclopentane rings are
 opened after oxidation to cyclohexanone and cyclopenta none, respectively. Ring cleavage of either cylcohexanone
 or cyclopentanone is determined by the same rule with
 phenol ring cleavage.

Rule 4 – After ring cleavage, carbon chains are degraded
to hydroxyl-, ketone, and carboxylic acid, followed by a
decarboxylation step.

Rule 6 – If the degradation rules are not applicable
to rules 1 through 4, enzymatic attack proceeds at the
carbon atom with the second highest FED value.

Estrogenicity. We examined estrogenic characteristics by
calculating the log P and the number of hydrogen bond donors
and acceptors. We calculated both of these parameters using
the atom-based additive approach.⁴⁰

241 **RESULTS**

242 Proposed structures for the metabolites detected from the ¹⁴C 243 experiments are shown in Table 1. Two of the quickly pro-244 duced (i.e., after 1 h of reaction time) metabolites are M312 245 and M376 (corresponding to m/z 311 and m/z 375 peaks in 246 negative ESI MS), and their proposed identities are OH-EE₂ 247 and SO₄-EE₂ (Sulfo-EE₂) respectively. These structures suggest 248 hydroxyl- and sulfo-transfer initiating reactions that can be 249 carried out by a wide range of common oxygenase and sulfo-250 transferase enzyme.^{15,41} The identities of these initial meta-251 bolites are supported by Yi and Harper, 2007,⁸ who detected 252 both of them from a nitrifying membrane bioreactor using thin layer chromatography and NMR. The metabolite M310 253 (m/z 309) is EDMO, a byproduct that shows the presence of a 254 ketone group on ring B. M385 is DOEF, which shows that 255 the ethinyl group has been converted to a carboxylate group, 256 and that a carboxylation reaction takes place at ring B. The 257 proposed structure for M314 (m/z 313) is $6AH-EE_2$; this 258 metabolite has been measured by Della-Greca et al., 2008,⁴² and 259 its formation is not surprising because the C10 carbon unit has 260 high frontier electron density (C10 = 0.38) and it is therefore 261 an attractive location for electrophilic modification. Finally, 262 M341 (m/z 340) is either 2 Nitro-EE₂ or 4 Nitro-EE₂; both 263 formed by way of an abiotic nitration reaction.¹¹This collection 264 of metabolites is largely consistent with what has been detected 265 previously from nitrifying mixed cultures.^{8,13,26}

We now turn our attention to FED-based prediction of EE₂ 267 metabolism. Figure 1 shows EE2 and three initial metabolites. 268 The chemical convention used here denotes rings A through D 269 as shown in the parent structure in the upper left-hand corner 270 of Figure 1. Ring A of EE₂ contains several high FED C units 271 (1-5 and 10), making it the most attractive location for elec- 272 trophilic modification. The reactions reflected by the structure 273 of these initial metabolites are consistent with ring A modi- 274 fications. OH-EE2, detected in the current study and in two 275 previous reports, is hydroxylated at carbon unit 2 (C_2 FED = 276 0.1), while both Sulfo-EE₂ and EHMD are modified at C3 277 (FED = 0.16). These initiating reactions support the idea of 278 using FED theory to explore biologically mediated initiating 279 reactions, and we also extract two additional points from Figure 1. 280 First, EE₂ C10 has the highest FED value, but initial hydro- 281 xylations are unlikely to occur at this site because C10 is not 282 bound to an -OH, =O, or -H group (see Rule 1). Second, the 283 two levels of theory both point to the same carbon units as 284 likely reaction sites. This is an important insight. UHF theory is 285 among the most common methods for determining FED. The 286 disadvantage of this method is that it uses a crude central field 287 approximation to account for electron-electron interactions, 288 rendering it more inaccurate. Density functional theory accounts 289 for electron-electron interactions more rigorously. Our results 290 show that UHF can provide useful information related to EE₂ 291 initiating reactions. 292

The initial metabolites can be further degraded to other 293 byproducts. Figure 2 shows a metabolic pathway from EE₂ to 294 ETDC. Ring A cleavage occurs between C2 and C3 because 295 of oxygenolytic activity typically carried out by dioxygenases,⁴³ 296 and this ring cleavage step causes a dramatic extraction of 297 electrons, decreasing the FED of C2 (0.15 to 0.005), C3 (0.14 298 to >0.001), and C10 (0.13 to 0.013). There is also an interest- 299 ing increase in the FED of C4 (<0.001 to 0.1), consistent with 300 the idea that removing electrons can cause the electron density 301 along the C-C bond to increase. This is computationally possi- 302 ble because the nucleophilic Fukui function (the mathematical 303 underpinning of FED-based calculations) can take on negative 304 values (in contrast to the basis of tradition, simple frontier 305 molecular orbital theory). The nucleophilic Fukui function is a 306 key indicator of redox-induced electron rearrangement (RIER), 307 where oxidation of a particular molecule can lead to the reduc- 308 tion of a specific region of the molecule (usually along the bond 309 axis, between the carbon atoms). Melin et al., 2007⁴⁴ docu- 310 mented similar observations while studying the oxidation of 311 substituted acetylenes. Overall, these results make three im- 312 portant points. First, they show an example of a synthetically 313 generated degradation pathway that predicted two measured 314 metabolites (OH-EE₂, ETDC). Second, they demonstrate that 315 Table 1. Metabolites Identified in Current Study

| m/z | Proposed structure | IUPAC name | Abbreviation | Analytical conformation | Reported by others |
|-----|-------------------------|--|-------------------------|---|--|
| 311 | HO HO CH | 17-ethynyl-13-methyl- 7,8,9,11,12,14,15,16-octahydro-6H- cyclopenta[a]phenanthrene-2,3,17- triol | OH-EE2 | dansyl chloride derivatization - Positive | Yi and Harper, 2007; Skotnicka-Pitak <i>et. al.</i> , 2009 |
| 375 | | 3-(3-ethynyl-7-formyl-3,6-dihydroxy- 3a-methyl-1,2,4,5,5a,7,8,9,9a,9b- decahydrocyclopenta[a]naphthalen-6- yl)-2,3-dioxo-propanoic acid | O-DNDPA | dansyl chloride derivatization - Negative | NO |
| | CH-SOUTH CH | (17-ethynyl-17-hydroxy-13-methyl- 7,8,9,11,12,14,15,16-octahydro-6H- cyclopenta[a]phenanthren-3-yl) hydrogen sulfate | Sulfate-EE2 | NO | Yi and Harper, 2007 |
| 309 | HO CHO CHO CH | 17-ethynyl-3,17-dihydroxy-13- methyl-7,8,9,11,12,14,15,16- octahydrocyclopenta[a]phenanthren- 6-one | ОРО | dansyl chloride derivatization - Positive | NO |
| 313 | OH CH | 17-ethynyl-10,17-dihydroxy-13- methyl- 6,7,8,9,10,11,12,13,14,15,16,17- dodecahydro-3H- cyclopenta[a]phenanthren-3-one | 6AH-EE2 | No | Della-Greca et. al. 2008 |
| 340 | NO ₂ Hick HO | 17-ethynyl-13-methyl-2-nitro- 7,8,9,11,12,14,15,16-octahydro-6H- cyclopenta[a]phenanthrene-3,17-diol | 2 Nitro-EE ₂ | dansyl chloride derivatization - Positive | Skotnicka-Pitak et. al., 2009: Gaulke et. al., 2008 |
| | Ho Ho NO2 | 17-ethynyl-13-methyl-4-nitro- 7,8,9,11,12,14,15,16-octahydro-6H- cyclopenta[a]phenanthrene-3,17-diol | 4 Nitro-EE ₂ | dansyl chloride derivatization - Positive | Skotnicka-Pitak <i>et. al.</i> , 2009; Gaulke <i>et. al.</i> , 2008 |
| 385 | но соон | 17-(1,2-dihydroxy-2-oxo-ethyl)-13- formyl-3-hydroxy-6,7,8,9,11,12,14,15- octahydrocyclopenta[a]phenanthrene- 6-carboxylic acid | OPCA | dansyl chloride derivatization - Positive | Skotnicka-Pitak et. al., 2009 |
| 379 | HO HO OH CH CH | 3-(3-ethynyl-7-formyl-3,6-dihydroxy- 3a-methyl-1,2,4,5,5a,7,8,9,9a,9b- decahydrocyclopenta[a]naphthalen-6- yl)-2,3-dihydroxy-propanoic acid | DNDPA | dansyl chloride derivatization - Positive | NO |
| 293 | Unknown | Unknown | Unk. | dansyl chloride derivatization - Positive | NO |

³¹⁶ ring A is cleaved before ring B, and third, these results support ³¹⁷ RIER, which allows the Fukui function to be negative and ³¹⁸ the FED of nearby carbon units to increase during oxidation ³¹⁹ reactions.

 $_{320}$ Figure 1S also shows the metabolic pathway from EE₂ to $_{321}$ EDMC via 6AH-EE₂. The first step is a tautomerization at C3

(FED = 0.153), which has one of the highest FED values on the $_{322}$ parent compound. The second step is a hydroxylation at C10, $_{323}$ resulting in a metabolite (6AH-EE₂) that was generated by an $_{324}$ enriched Sphingomonasculture.⁴² During this step, the FED $_{325}$ decreased in each carbon unit, except for C5, which more than $_{326}$ doubled (0.018 to 0.037). Ring A is cleaved between C2 and $_{327}$

Article



Figure 1. FED profile for EE2. Three initial metabolites are shown at the corresponding reaction sites.

328 C3 after oxidation at C2, and then the degradation of the 329 carboxylic groups in CEDM accounts for the production of the 330 last metabolite shown in this pathway. This pathway supports 331 the notation that ring A is cleaved before ring B, and it provides 332 another example of RIER.

The third initial metabolite of interest in this study is Sulfo-333 334 EE₂ (Figure 2S). This metabolite has been identified in the $_{335}$ current study and in two previous studies that transformed EE₂ 336 using mixed cultures of activated sludge. The FED profile of $_{337}$ Sulfo-EE₂ shows that the highest FED value resides at C10 (0.2). 338 Hydroxylation of C10 is possible in principle, but the ensuing 339 transformation at ring A cannot be theoretically predicted at 340 this time because there are no established biological degrada-341 tion rules that account for the presence of the sulfate group at 342 C3. Further, redox reactions involving the sulfur unit are pre-343 cluded from the current approach because of its low FED value 344 (<0.01). In situ, there are sulfotransferase and sulfatase enzymes 345 that can, in principle, transform Sulfo-EE₂ (or related structures). 346 However, these activities are not predicted using FED-based 347 theory. It is also interesting to note that Sulfo-EE₂ appears to 348 be more recalcitrant than OH-EE₂ or 6AH-EE₂. Khunjar et al., 349 2011¹³ recently found that Sulfo-EE₂ produced by a nitrifying 350 culture was not degraded further by a heterotrophic culture that

was placed in series. Their findings imply that $Sulfo-EE_2$ may be 351 more difficult to degrade. Our study corroborates their obser-352 vations, because the degradation of $Sulfo-EE_2$ is not elucidated 353 well by known degradation rules or by FED-based theory. 354

We now examine estrogenic potential using the number of 355 hydrogen bond donating and accepting groups and the log P. 356 Figure 3S shows these values for EE_2 and the initial metabolites. 357 EHMD has lower estrogenic potential than EE_2 because the n_a 358 is greater (3 vs 2), the n_d is unchanged, and the log P is smaller 359 than that of EE_2 (2 vs 3.7). Sulfo- EE_2 also appears to have 360 lower estrogenic potential than EE_2 for similar reasons. OH-EE₂ 361 has a lower log P (3.4 vs 3.7) and higher n_a (3 vs 2) compared 362 to EE₂, but it also has an additional hydrogen bond donating 363 group, a fact that may counterbalance the changes in log P and 364 n_a . Thus, in this case the relative estrogenic potential is not as 365 clear; however, we hypothesize that OH-EE₂ has less estrogenicity 366 than EE_2 because previous work has shown that $2OH-E_2$ 367 (not 2OH-EE₂) is less estrogenic than E₂.⁴⁵ If hydroxylation 368 at C2 reduces estrogenicity for E_{22} , it seems reasonable to expect 369 the same for EE_2 . 370

Estrogenic potential changes during the course of the trans- 371 formation pathways. For example, during the EE₂-to-EDMC 372 pathway (Figure 4S), there are clear indications that estrogenic 373



Figure 2. Predicted pathway for the degradation of EE_2 to ETDC. Measured metabolites are indicated with a bold highlight, and metabolites identified as part of this study are marked by an asterisk. Note the dramatic increase at carbon 4 as ring cleavage occurs (2OH to EHMC).

374 potential decreases during the steps leading to ring cleavage; 375 the log P decreases and the n_a increases. The last compound in 376 the pathway (EDMC) is without the active phenolic ring and 377 is therefore likely to have lower estrogenic potential. There are, 378 however, two predicted metabolites (i.e., ETMD and CEDM) 379 that have a higher n_d (3 and 4 respectively) than EE₂. These 380 two compounds should probably be tested for estrogenicity 381 in future efforts. During the EE₂-ETDC pathway, there are also 382 indications that estrogenic potential is reduced (Figure 5S). 383 OH-EE₂ (as mentioned earlier) is likely less estrogenic than 384 EE₂, and EMDC has less estrogenic potential than OH-EE₂ $_{385}$ (or EE₂) because it has lower log P and higher n_a. The last com-386 pound in this pathway (ETDC) has lost the active ring and 387 likely has lower estrogenic potential than EE₂. Finally, we hypo-388 thesize that Sulfo-EE₂ has less estrogenic potential than EE₂ 389 because Sulfo-EE₂ has a lower log P than EE₂ (i.e., 3.0 < 3.7, ³⁹⁰ Figure 6S) and Sulfo-EE₂ has a higher n_a (5 > 2, Figure 6S).⁴ 391 Sulfate conjugation does not change n_d.

392 DISCUSSION

Theory. These results show that FED-based calculations and can be useful for understanding the transformation of EE₂. It is interesting to observe that UHF-based FED solutions provide guidance with respect to where electrophilic initiating reactions take place, despite the fact that it does not rigorously account set for electron–electron interactions. The reason is because UHF provides a broad accounting for electron–electron interactions using the central field approximation. This approach allows electron–electron interactions to be accounted for in a way that is independent of angular coordinates (i.e., the precise location of an electron associated with a particular orbital). The HF solutions to the atomic wave function contain an electron– electron interaction "correction" imbedded into the result, which leads to numerical trends that are largely in line with DFT. UHF is accurate enough for those interested in the initiating 407 reactions associated with EE₂. 408

Table 1 included four biologically produced structures that 409 do not appear in the transformation pathways presented in this 410 manuscript. This may reflect a need to investigate alternative 411 degradation rules, or it may point to limitations associated with 412 FED-based predictions. For example, the structures of DNDPA 413 (m/z = 379) and O-DNDPA (m/z = 375) show hydroxyl 414 groups and a double bond at C4. It is possible that these struc- 415 tures could appear in a pathway similar to the 6AH-EE₂ path- 416 way shown in this paper. Specifically, if 6AH-EE₂ is oxygenated 417 at C4 (which has the second highest FED value), the result is 418 a chemical structure that is a precursor for the two DNDPA 419 structures. Table 1 also shows OPCA (m/z = 385) and OPO 420 (m/z = 309), which show chemical modifications at low FED 421 carbon units. Predicting these structures may require other 422 reactivity indices. 423

The current results show that FED values increased at C4, 424 which is adjacent to the carbon atoms being attacked on ring A. 425 This result seems counterintuitive, because oxidation reactions 426 remove an electron from the highest molecular orbital, and 427 would presumably decrease the amplitude of the square of the 428 HOMO and therefore the FED (according to traditional fron- 429 tier molecular orbital theory). However, it is possible to observe 430 a local increase in electron density in a compound that is 431 subject to oxidation. This is RIER, which asserts that, when an 432 electron is removed from the highest molecular orbital, nearby 433 orbitals may "relax" and reconfigure so that the FED of a parti- 434 cular carbon atom may increase. This idea had been compu- 435 tationally demonstrated previously,⁴⁸ but it gained its strongest 436 support from recent experiments by Melin et al., 2007,⁴⁴ who 437 oxidized dinuclear cobalt and simultaneously reduced the chlo- 438 ranilate linker connecting the cobalt complexes. What appeared 439 as a computational anomaly now had stronger experimental 440

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⁴⁴¹ support. The presence of orbital relaxation should encourage ⁴⁴² the use of higher levels of electronic theory when exploring full ⁴⁴³ transformation pathways because electron–electron interac-⁴⁴⁴ tions need to be rigorously accounted for.

Application. Practical aspects of EE₂ metabolism have 445 446 taken shape. The first is related to ring cleavage, which is a key 447 metabolic event because it produces metabolites that are easier to biodegrade. When EE₂ is degraded, ring A is cleaved first. 448 This is supported by the identities of most of the measured 449 450 metabolites presented in the literature, and it is also supported 451 by the FED analysis presented here. Haiyan et al., 2007 pro-452 posed that ring B is cleaved first, based on the identity of meta-453 bolites produced by Sphingobacterium sp. JCR5, but their 454 results appear to be the exception, rather than the rule.¹⁵ A 455 second practical issue is related to Sulfo-EE₂. This metabolite 456 has been independently identified from mixed cultures in three 457 different studies, including this current effort. Khunjar et al., 458 2011 recently found that Sulfo-EE₂ was not degraded by het-459 erotrophic cultures that were otherwise active. Our results sup-460 port the notion that Sulfo-EE₂ is fairly resistant to biodegradation because of the presence of the sulfate group in ring A.¹³ 461 462 Further, sulfate conjugation appears to cause recalcitrance for estrone, estradiol, estriol, which are similar to EE₂ in structure.^{46,49} Sulfo-EE₂ may either be a "dead-end" metabolite, or it may be 464 465 transformable after desulfurization, which may be a slow pro-466 cess. 49,50 Wastewater treatment plants that are interested in EE₂ 467 should look for Sulfo-EE₂ in secondary effluent.

The broader application of FED-based theory is another 468 469 issue of practical significance. A new tool enabling the a priori 470 prediction of organic metabolites would be a valuable resource 471 for environmental professionals, and there are subtle indications 472 that the FED-based approach can help explain other transformations of interest. Estrone, estradiol, and estriol are conjugated 473 474 at the carbon units that have high FED values. 49,51-53 Kurisu 475 et al., 2010 proposed an estradiol degradation pathway that is 476 consistent with FED-based theory,⁵⁴ and Yi et al.⁵⁵ used NMR 477 to identify trimethoprim byproducts that are in agreement with 478 FED-based modeling. It is now possible to duplicate the current 479 effort for other compounds, but it also clear that future research can extend and improve FED-based modeling. For example, 480 other approaches may better address resonance stability, which 481 can cause high FED carbon units to be unreactive.⁵⁶ We used 482 Rule 5 to account for this, but alternative approaches may 483 484 improve model performance. The major challenge for future 485 efforts will concern the proper incorporation of kinetics. FED-486 based techniques must account for the interactions between 487 nonspecific enzymes (e.g., oxygenases, dehydrogenases, sulfo-488 transferases) and high FED carbon sites, so that binding charac-489 teristics can be determined and reaction rates can be computed. 490 A kinetically based FED approach can also reveal the impact of 491 steric effects and shed light on the reversibility of these reac-492 tions. This type of model prediction needs to be judged against 493 experimental data that quantifies metabolite concentrations as a 494 function of time, and, at present, this kind of information is in 495 very short supply. Fortunately, there is incentive to fill this data 496 gap, as a kinetically based FED model can tell us the relative 497 yield of different metabolites and help us discover others that 498 are highly estrogenic.

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ASSOCIATED CONTENT

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More details on the generation of metabolic pathways and 501 estrogenic potential calculations. This material is available free 502 of charge via the Internet at http://pubs.acs.org. 503

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